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12-27-01

PTO/SB/05 (08-00)

Approved for filing through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. PH-7306

First Inventor or Application Identifier Albert Robichaud et al

Title Aryl and Aminoaryl Substituted Serotonin Receptor Agonist and Antagonist Ligands

Express Mail Label No. EV000875622US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 71)
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C.113) (Total Sheets)
5. ☒ Oath or Declaration (Total Pages 1)
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 17 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TOAssistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: ____ /

Prior application information:

Examiner ____

Group Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☒ Customer Number 24348***24348*****24348**

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

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Registration No. (Attorney/Agent)

38,532

Signature

Date

December 19, 2001

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**FEE TRANSMITTAL
for FY 2001**Express Mail No. EV000875622US
Patent fees are subject to annual revision.

Complete if Known

Application Number	Unknown
Filing Date	December 19, 2001
First Named Inventor	Albert Robichaud et al
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No.	PH-7306

TOTAL AMOUNT OF PAYMENT (\$)**740.00**

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- ☒ Deposit Account Number **023850**
- ☒ Deposit Account Name **Bristol-Myers Squibb Pharma Company**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

BASIC FILING FEE

Large Fee Code	Entity	Small Fee Code	Entity	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101		740	201	355	Utility filing fee	740.00	
106		330	206	160	Design filing fee		
107		510	207	245	Plant filing fee		
108		740	208	355	Reissue filing fee		
114		160	214	75	Provisional filing fee		

SUBTOTAL (1)

(\$)**740.00**

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
15	-20**	0	0
Independent Claims	-3**	0	0
Multiple Dependent		0	0

Large Fee Code	Entity	Small Fee Code	Entity	Fee (\$)	Fee (\$)	Fee Description
103		18	203	9	Claims in excess of 20	
102		84	202	40	Independent claims in excess of 3	
104		280	204	135	Multiple dependent claim, if not paid	
109		84	209	40	** Reissue independent claims over original patent	
110		18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity	Small Fee Code	Entity	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65		Surcharge - late filing fee or oath		
127	50	227	25		Surcharge - late provisional filing fee or cover sheet.		
139	130	139	130		Non-English specification		
147	2,520	147	2,520		For filing a request for ex parte reexamination		
112	920*	112	920*		Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action		
115	110	215	55		Extension for reply within first month		
116	400	216	195		Extension for reply within second month		
117	920	217	445		Extension for reply within third month		
118	1,440	218	695		Extension for reply within fourth month		
128	1,960	228	945		Extension for reply within fifth month		
119	310	219	155		Notice of Appeal		
120	310	220	155		Filing a brief in support of an appeal		
121	270	221	135		Request for oral hearing		
138	1,510	138	1,510		Petition to institute a public use proceeding		
140	110	240	55		Petition to revive - unavoidable		
141	1,240	241	620		Petition to revive - unintentional		
142	1,240	242	620		Utility issue fee (or reissue)		
143	440	243	220		Design issue fee		
144	600	244	300		Plant issue fee		
122	130	122	130		Petitions to the Commissioner		
123	130	123	50		Petitions related to provisional applications		
126	240	126	240		Submission of Information Disclosure Stmt		
581	40	581	40		Recording each patent assignment per property (times number of properties)		
146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))		
149	710	249	355		For each additional invention to be examined (37 CFR § 1.129(b))		
179 (RCE)	710	279	355		Request for Continued Examination		
169	900	169	900		Request for expedited examination of a design application		

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Scott K. Larsen	Registration No. Attorney/Agent	38,532	Telephone	302-695-1406
Signature				Date	December 19, 2001

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